Molecular Studies of Surfaces under Reaction Conditions; Sum Frequency Generation Vibrational Spectroscopy, Scanning Tunneling Microscopy and Ambient Pressure X-ray Photoelectron Spectroscopy

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### **Evolution of Surface Science**

#### Nanosciences

Monodispersed nanoparticles 2D and 3D

Applications in <u>Catalysis</u>, <u>Tribology</u>, <u>Polymers</u>, <u>Biointerfaces</u>, <u>Microelectronics</u>, <u>Energy</u> <u>Conversions</u>, <u>Environmental</u> <u>Chemistry</u>, <u>Electrochemistry</u>, <u>Corrosion</u>

Surface Instruments at High Pressures , Liquid Interfaces (STM, SFG, AFM, QCM, RAIRS)

#### Surface Dynamics

Rapid energy transfer between incident and product molecules Mobility of the adsorbed molecules along surfaces during catalytic turnover

#### Surface Thermodynamics

Adsorbate coverage dependent heats of adsorption Coadsorption induced ordering Surface segregation

#### Surface Structure and Bonding

Clean surface reconstruction Adsorbate induced restructuring Surface defects (steps, kinks) are chemically active

Model Surfaces - Single Crystals , (Metals, Semiconductors)

Surface Instruments in Vacuum (XPS, AES, LEED, SIMS)

### Surface Science Techniques for Gas/Solid or Liquid/Solid Interfaces

#### **Photon-in and Photon-out:**

IR spectroscopy, Raman spectroscopy, <u>Sum-frequency generation</u> <u>vibrational spectroscopy</u>, X-ray emission spectroscopy, Surface X-ray diffraction, <u>X-ray absorption fine structure</u>

Surface probe microscopy:

Scanning Tunneling Microscopy, Atomic Force Microscopy

**Photon-in and Electron-out:** 

Ambient pressure X-ray Photoelectron Spectroscopy

#### **Electron-in and Electron-out:**

Transmission Electron Microscopy Electron Flow During Catalytic Reactions

### Model Catalytic Surfaces for Identifying Active Sites

From Single Crystal Surfaces to Monodispersed Nanoparticles





## **Catalysts are Nanoparticles**



Size: ~4 nm, 100 amino acids Molecular weight: ~12,000 daltons



Single-site olefin polymerization catalyst Size : ~1.6 nm

### (c) Heterogeneous catalysis



Pt/Rh bimetallic nanoparticles Size : 8 nm

# Synthesis of Pt Nanoparticles with Size and Shape Control

PVP: Poly(vinylpyrrolidone), surface regulating polymer
Particle size control in the range of 1.7 ~ 7.1 nm



1.73 ± 0.26 nm



2.48 ± 0.22 nm



2.80 ± 0.21 nm



3.39 ± 0.26 nm



7.16 ± 0.37 nm





Lee, H.et al., Angewandte Chemie, 2006, 45, 7824.

### **Biointerfaces and Medical Devices**

- Biomaterials improve our everyday quality of life
  - NIH estimates 8-10% of Americans have medical implants<sup>1</sup>
- Biological Compatibility of Materials
  - Non-Specific Protein Adsorption<sup>2</sup>

#### **Heart Stent**



Polyurethane Under Reversible Loading (Fatigue Test)

3.2 billion heart valve loading cycles in ~80 years of normal heart function



#### **Soft Contact Lens**



Soft contact lens: crosslinked pHEMA



 $\label{eq:C2H4OH} C_2 H_4 OH$  In water, pHEMA swells & forms hydrogel

pHEMA = poly(2-hydroxyethyl methacrylate)

#### **Prosthesis**



http://www.biotronik.de/sixcms/detail.php/346

1. http://consensus.nih.gov/2000/2000MedicalImplantsta019html.htm 2. Ratner, B.D., Bryant S.J., *Annu. Rev. Biomed. Eng.* (6) 41-75, 2004.

# **Catalysis by Metals**



<u>Reaction Selectivity</u> Minimizes Waste Byproducts -> Green Chemistry

### Prenatal and Postmortem Studies of Metal Catalysis Before SFG Techniques

#### Ultra High Vacuum (UHV) Reactor Combined w/ High Pressure Reaction Cell

#### **1. Low Pressure Catalyst Characterization**





2. High Pressure Catalyst Reaction

#### 3. Selectivity & Reaction Product Study



### **Benzene Hydrogenation on Pt Nanoparticles**

#### Pt(111) & Cuboctahedra Nanoparticles show similar selectivity

#### Pt(100) & Cubic Nanoparticles show similar selectivity









Cuboctahedra (12.6 nm)

Cube (13.4 nm)



### Using SFG to Investigate Furan Hydrogenation: Selectivity Dependence on Pt Nanoparticle Size





All Runs: 10 Torr Furan, 100 Torr Hydrogen, 363K

Why are Catalytic Selectivity and Turnover Rates Nanoparticle Size and Shape Sensitive?

# Prenatal and Postmortem Studies of Metal Catalysis

# Revolution in Molecular Studies of Catalysts During Reactions

### High Pressure In-situ Surface Techniques

- Sum Frequency Generation Vibrational Spectroscopy (SFG)
- High Pressure Scanning Tunneling Microscopy (HPSTM)
- X-ray Absorption Spectroscopies (XAS)
- Ambient Pressure X-ray Photoelectron Spectroscopy (APXPS)
- Catalytic Nanodiode (CND)

Sum Frequency Generation Vibrational Spectroscopy

### SFG: Surface-Specific Vibrational Spectroscopy



# SFG: Surface-Specific Vibrational Spectroscopy





 $\chi^{(2)}$  is zero  $\rightarrow$  No SFG Signal!\*



 $\chi^{(2)}$  is nonzero  $\rightarrow$  Surface-Specific SFG Signal!

# **Experimental SFG Setup**



# SFG: Surface-Specific Vibrational Spectroscopy



**Experimental Laser Setup** 

# Surfaces Under Pressure and at Liquid Interfaces

### Experimental Evidence for the Surface Sensitivity of SFG

The thickness independence of the SFG spectra of polyethylene glycol films



The pressure independence of the SFG spectra of CO on Pt (557)



### Example of SFG Surface Sensitivity: Alkanethiol self-assembled monolayer (SAM)

SFG spectra: C-H stretching region





# Surface Science at Liquid Interfaces

Surface Electrochemistry

Biointerfaces

### SFG Sensitive to Orientation of Acetonitrile on Pt(111) with Varying Surface Potential



Changes of Polymers at Solid-Gas and Solid-Liquid Interfaces

### SFG spectrum of atactic polypropylene







### **Polypropylene/water interface**







### Polypropylene CH<sub>3</sub> side branches tilt and/or disorder

# Hydrophobic endgroup segregation at the air interface in polyethylene glycol (PEG) oligomers



**Detection of Hydrophobic End Groups on Polymer Surfaces by Sum-Frequency Generation Vibrational Spectroscopy** Chen, Z.; Ward, R.; Tian, Y.; Baldelli, S.; Opdahl, A.; Shen, Y.-R.; Somorjai, G. A.; *J. Am. Chem. Soc.*; **2000**; *122*(43); 10615-10620.

### SFG Sensitivity to Polymer Surface Hydration

Time Evolution of SFG Spectra at the Biospan-S/Water Interface



### **Biospan-S Polymer**





Zhang D, Ward RS, Shen YR, and Somorjai GA. J. Phys. Chem. B (101) 44, 1997

### **Surface Modification Technology**

- SME<sup>™</sup> = Surface Modifying End Group
  - Surface-active end group covalently bonded to a base polymer during synthesis

- SAME<sup>™</sup> = Self Assembling Monolayer End Group
  - Self-assembling end group covalently bonded to a base polymer during synthesis







Utilizing End Groups to Modify Surface Chemistry

- 1. Antimicrobial Activity  $CH_3$  ISAME = HO<sub>T</sub>R<sub>1</sub>-N<sup>+</sup>-R<sub>2</sub>  $CH_3$
- 2. Enhanced Thrombo-resistance

 $SAME = HO-(CH_2)_{17}-CH_3$ 

3. Improved Biostability

**SME = HO-Fluorocarbon** 



### Example 1: Antimicrobial SAME Surfaces

- Ionic Interaction with Bacterium Cell Membranes<sup>1</sup>
  - Membranes Disrupted
  - Permeability Increased



1. Denyer, S. P. and Stewart, G. S. A. B., Int. Biodet. Biodegrad. (41) 261-268, 1998. Unlimited.

### **Antimicrobial SAME Resists Staphylococcus Bacteria**



Test Organism: Staphylococcus aureus (ATCC 6538)



### **Enhanced Thrombo-resistance**

#### <u>Without</u> surface modifier/wax



**Blood clots line balloon surface** 

### <u>With surface modifier/wax</u>



#### **Enhanced thrombo-resistance**


## Example 3: Biostability of Fluorocarbon SMEs

#### Polyether-urethane control <u>no fluorocarbon</u>



#### **Polymer Degradation**

#### Polyether-urethane with fluorocarbon SME



**No Polymer Degradation** 



Ward, R. et al. J. Med. Biomed. Res. (79A) 836-845, 2006

SFG Molecular Studies of Metal Catalysts and Reaction Intermediates

## Catalysis in the 20th Century Focus on Catalytic Activity

## Catalysis in the 21th Century Focus on Catalytic Selectivity





## Synthesis of Pt Nanoparticles with Size and Shape Control

PVP: Poly(vinylpyrrolidone), surface regulating polymer
Particle size control in the range of 1.7 ~ 7.1 nm



1.73 ± 0.26 nm



2.48 ± 0.22 nm



2.80 ± 0.21 nm



 $3.39 \pm 0.26$  nm



7.16 ± 0.37 nm





Lee, H.et al., Angewandte Chemie, 2006, 45, 7824.

## Pt and PdPt Alloy Nanocrystal Shape Control

#### Pt nanocrystal shape control – silver ion



#### PdPt alloy nanocrystal shape control – oxygen



## SFG Catalysis Study of 2-Dimensional Pt Nanoparticle Array





### SFG of Benzene Hydrogenation on Pt(111) and Pt (100)

#### **SFG of Pt (100)**

#### SFG of Pt (111)



 SFG shows π-allyl c-C<sub>6</sub>H<sub>9</sub> benzene hydrogenation intermediate on Pt(100) only at high temperatures

Bratlie KM, Kliewer CJ, Somorjai GA. J. Phys. Chem. B (2006), 110, 17925

## SFG Allows Study of Single Crystal Metal Catalysts *In-Situ*

#### SFG of Catalytic Reaction Intermediates During Reaction on Platinum



## SFG of Hydrogenation of Bifunctional Molecules over Pt(111)

## **Acrolein Hydrogenation**



### Crotonaldehyde Hydrogenation



## Acrolein Hydrogenation over Pt(111) Temperature



#### Crotonaldehyde Hydrogenation on Pt(111) (1 Torr Crotonaldehyde)



## Furan Hydrogenation over Pt(111) vs 10 nm Pt Nanoparticles

10 Torr Furan and 100 Torr Hydrogen



#### Pt(111) Single Crystal

#### **10 nm Pt Nanoparticles**

### Furan Hydrogenation on 1 nm Pt Nanoparticles 10 Torr Furan / 100 Torr H<sub>2</sub>



## **High Pressure STM**

**Substrate Mobility** 

## Scanning tunneling microscopy working under high pressure conditions





# CO on Pt(100)

## STM of Pt(100) Hex Reconstruction



Feng Tao; Sefa Dag; Lin-Wang Wang; Zhi Liu; Derek R. Butcher; Miquel Salmeron; Gabor A. Somorjai; *Nano Lett.* **2009**, 9, 2167-2171.

## Pt(100) Restructuring



### (100 nm x 100 nm)



(10 nm x 10 nm)





(38 nm x 36 nm)

CO lifts the hex Reconstruction resulting in clusters

## CO/Pt(100) Cluster Structure



Atomic resolution

<u>images</u>

CO nearest neighbor O-O distance: 3.7-4.1 Å

Compared to Pt-Pt 2.75 Å

#### **DFT Calculations**

CO-CO repulsion results in tilting expanding CO-CO distance.

Energy gain 3.2 eV per Pt-CO pair



DFT calculations show tilted CO geometry on (6x3) Pt cluster on a 4 layer slab.



## **CO on Stepped Pt**

## Reversible Cluster Formation on Pt(557)

#### 30 nm x 30 nm





10<sup>-10</sup> Torr

5 x 10<sup>-8</sup> Torr CO

**1 Torr CO** 



10 nm

- Low pressure CO induces step doubling
- High pressure CO causes the step terraces to break up into ~2nm clusters

#### **Reversible CO-induced Restructuring of Pt(557)**



**1 Torr CO** 

~10<sup>-8</sup> Torr CO

- Low pressure structure has regular terraces
- High pressure CO causes the step terraces to break up into ~2nm clusters
- Cluster formation is reversible: Pump out reforms
   terraces with increased kink sites
- CO-CO repulsion causes clustering

#### **Reversible CO-induced clustering on Pt(332)**



**10<sup>-8</sup> Torr CO** 





- Cluster Structure on (332) consists of rectangular blocks and depressions
- Clustering is also reversible upon pump out.

## Pressure Enhanced Adsorbate-Induced Restructuring

#### Platinum (110)



## Adsorbate Mobility

# High pressure STM Reaction Studies: the role of surface mobility

75Å x 75Å image of <u>catalytically active</u> Pt(111) at 25C. 200 mTorr  $H_2$  and 20 mTorr cyclohexene



70Å x 70Å image of <u>CO poisoned</u> Pt(111) at 25C. 200 mTorr H<sub>2</sub>, 20 mTorr of cyclohexene, and 5 mtorr CO





## Blocking of adsorbate (C<sub>2</sub>H<sub>3</sub>, H) mobility by CO poisons catalytic reaction on Rh and Pt(111)



*High pressure scanning tunneling microscopy study of CO poisoning of ethylene hydrogenation on Pt(111) and Rh(111) single crystals.* D.C. Tang, K.S. Hwang, M. Salmeron and G.A. Somorjai. **J. Phys. Chem. B** <u>108</u>,13300 (2004)

### X-ray Absorption Spectroscopies (XAS)



Bare, S. R. et al., Catal. Today 2007, 126, (1-2), 18-26.

### Synthesis and Immobilization of Dendrimer Encapsulated Bimetallic PtRh Nanoparticles



## Coordination Environment of Dendrimer Encapsulated ~ 1 nm PtRh Bimetallic Nanoparticles Measured by EXAFS at Pt Edge



 $k^3$ -weighted Fourier transform of Pt  $L_3$  edge EXAFS spectra of the dendrimer Pt<sub>x</sub>Rh<sub>40-x</sub> nanoparticles.

Local coordination numbers for Pt of the dendrimer bimetallic catalysts.

## Coordination Environment of Dendrimer Encapsulated ~ 1 nm PtRh Bimetallic Nanoparticles Measured by EXAFS at Rh Edge



 $k^3$ -weighted Fourier transform of Rh *K* edge EXAFS spectra of the dendrimer Pt<sub>x</sub>Rh<sub>40-x</sub> nanoparticles.

Local coordination numbers for Rh of the dendrimer bimetallic catalysts.

#### Degree of Alloying in the Pt<sub>x</sub>Rh<sub>40-x</sub> Nanoparticles

The two quantities determining the degree of alloying are given by

$$J_{\rm Pt} = \frac{N_{\rm Pt-Rh} / N_{\rm Pt,total}}{c_{\rm Rh}} \times 100\% \qquad \qquad J_{\rm Rh} = \frac{N_{\rm Rh-Pt} / N_{\rm Rh,total}}{c_{\rm Pt}} \times 100\%$$

In above equations,  $c_{\text{Pt}}$  and  $c_{\text{Rh}}$  are the concentrations of Pt and Rh in the alloy nanoparticles,

respectively.  $N_{\rm Pt,total}$  and  $N_{\rm Rh,total}$  are the total coordination numbers of the absorber atom Pt and

	$J_{Pt}$ %	$J_{Rh}$ %
$Pt_{30}Rh_{10}$	128	80
$Pt_{20}Rh_{20}$	77	90
$Pt_{10}Rh_{30}$	70	107

Rh in the nanoparticles, respectively. The calculated  $J_{Pt}$  and  $J_{Rh}$  for the Pt<sub>x</sub>Rh<sub>40-x</sub> samples are

# Schematics of bimetallic nanoparticles at various degrees of alloying



Hwang, B. J. et. al. J. Am. Chem. Soc. 2005, 127, (31), 11140-11145.
#### CO Oxidation of Dendrimer Encapsulated 1 nm and PVP Capped 4 nm PtRh Bimetallic Nanoparticles



Reaction conditions were 10 Torr  $C_2H_4$ , 100 Torr  $H_2$ . TOF was calculated based on 100 % metal dispersion.

#### Ethylene Hydrogenation of Dendrimer Encapsulated 1 nm and PVP Capped 4 nm PtRh Bimetallic Nanoparticles



Reaction conditions were 10 Torr  $C_2H_4$ , 100 Torr  $H_2$ . TOF was calculated based on 100 % metal dispersion. Ambient Pressure X-Ray Photoelectron Spectroscopy

## **High Pressure XPS**

**Solutions:** Capture electrons before they collide with gas molecules by means of differential pumping stages and focusing lenses







# Surface Composition of Nanoparticles

## **Core-Shell Structure Probed by Synchrotron XPS**



- 16 nm Rh<sub>0.5</sub>Pd<sub>0.5</sub> nanoparticles form with a Rh rich shell
- 16 nm Pt<sub>0.5</sub>Pd<sub>0.5</sub> nanoparticles form with a Pd rich shell
- XRD reveals only a single 50/50 alloy phase for both systems

## **High Resolution TEM**









Core-Shell Structured NPs Rh Ka1 Pd Ka1 Core-Shell



Core-Shell structure confirmed with EDS mapping using STEM

### Core-Shell Restructuring Probed by Ambient Pressure XPS



- Atomic diffusion within the nanoparticles results in surface composition changes as a function of ambient pressure
- Oxidation and Reduction at the nanoparticle surface is important

## Molecular Factors of Catalytic Activity and Selectivity

- Surface Structure (Size, Shape)
- Surface Composition
- Reaction Intermediates
- Adsorbate-induced Restructuring
- Adsorbate Mobility
- Oxidation State
- Charge Transport

#### Size Dependence of CO Oxidation Activity (100 Torr of O2, 40 Torr of CO, and 443K)



Rh Nanoparticles with size of 2-11 nm were used in this study

3D Rh nanoparticle catalysts (uncalcined Rh/ SBA 15) exhibit particle size dependence, similar to Rh 2D LB (Langmuir–Blodgett) film



## The Effect of Oxidation State Changes With the Size of Pt Nanoparticles



1.5

2.0

#### Size (nm): 0.8



1.1



2.9

5.0

#### 1.5 nm Pt Nanoparticles

For clarity, the deconvoluted peaks for Pt  $4f_{5/2}$  are not shown in the XPS spectra.

#### **Oxidation of Pd(111)**



Ketteler, et al, JACS, 2005

## **Oxidation of Pd(111) (Contd.)**



## The subsurface oxide is a metastable phase.

## The role of Carbon Species in Heterogeneous Catalytic Processes

0.85 mbar H +0.05 mbar 1-pentyne at 358 K over Pd foil



Teschner D, et al, J. Catal. 242, 26 (2006)

#### The role of Carbon Species in Heterogeneous Catalytic Processes (contd.)



Formation of a carbon diluted Pd surface phase inhibited total hydrogenation of pentyne by the elimination of subsurface hydrogen participating in the reaction.

Teschner D, et al, J. Catal. 242, 26 (2006)

## Molecular Factors of Catalytic Activity and Selectivity

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## **Metal-Semiconductor Nanodiode**

The Role of Hot Electrons in Catalysis Science

## Hot Electron

A hot electron is not in thermal equilibrium with the lattice

Creation of hot electrons

 Energy transfer from photon, energetic ions, exothermic chemical processes – through non-adiabatic energy dissipation

 Injection of hot electron with metal-insulator-metal junctions or STM tip





## Mean Free Path of Hot Electrons in Metal Surfaces



#### Ballistic Mean Free Path of Electrons at 1eV above $E_f$

•	Gold	10-100 nm
•	Copper	10-75 nm
•	Palladium	3-9 nm
•	Platinum	5-10 nm

Inelastic mean free path at low energies given by  $L \propto E^{-2}$ 

#### Hot electron generation by exothermic catalytic reactions (detection with Schottky diode under CO oxidation)



Schematic and energy diagram of catalytic nanodiode

## Chemicurrent and Turnover Rate Measured under Carbon Monoxide Oxidation



## Chemicurrent and Turnover Rate Measured under Hydrogen Oxidation (with Pt/TiO<sub>2</sub> nanodiode and 700 Torr of O<sub>2</sub> and 6 Torr of H<sub>2</sub>)



### **Electron transport in Photosynthesis**



http://hyperphysics.phy-astr.gsu.edu/Hbase/Biology/psetran.html Moore R, Clark WD, Kingsley RS, and Vodopich D, Botany, Wm. C. Brown, 1995 Karp G, Cell and Molecular Biology, 5<sup>th</sup> Ed., Wiley, 2008

## Molecular Factors of Catalytic Activity and Selectivity

- Surface Structure (Size, Shape)
- Surface Composition
- Reaction Intermediates
- Adsorbate-induced Restructuring
- Adsorbate Mobility
- Oxidation State
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# **Future Challenges**

## **Catalysts are Nanoparticles**



Size: ~4 nm, 100 amino acids Molecular weight: ~12,000 daltons



Single-site olefin polymerization catalyst Size : ~1.6 nm

(c) Heterogeneous catalysis



Pt/Rh bimetallic nanoparticles Size : 8 nm

## Hybrid Systems

Homogeneous – Heterogeneous

Enzyme – Homogeneous

Enzyme – Heterogeneous

## Chemistry (Catalysis) of 10-40 Atom Clusters

# (No Bulk Atoms)



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